

Patent and Trademark Office

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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NO./TITLE

09/441,892

11/17/99

RENDRICKS

0212/1230

5268

NOT ASSIGNED

JOHN K HARROP DORSEY & WHITNEY LLP 1001 PENNSYLVANIA AVENUE N W SUITE 300 SOUTH WASHINGTON DC 20004

DATE MAILED 2711

12/30/99

NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1:136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of \$65.00

for a small entity in compliance with 37 CFR 1.27, or (\$\frac{1}{2}\$\$130.00 for a non-small entity, must also be timely submitted in to this NOTICE to avoid abandonment.	reply	y
If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☐ non-small entity is \$130.00.		
 □ 1. The statutory basic filing fee is: □ missing. □ insufficient. Applicant must submit \$		
\$fortotal claims over 20.		
\$forindependent claims over 3.		
\$for multiple dependent claim surcharge. Applicant must either submit the additional claim fees or cancel additional claims for which fees are due. 2. The oath or declaration:		
 is missing or unsigned. □ does not cover the newly submitted items. An oath or declaration in compliance with 37 CFR 1. 63, including residence information and identifying the applicate the above Application Number and Filing Date is required. □ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.43 or 1.47. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required. 	_	٠.
5. The signature of the following joint inventor(s) is missing from the oath or declaration:		
An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.		
 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)). 7. Your filing receipt was mailed in error because your check was returned without payment. 8. The application was filed in a language other than English. Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)). 	441692	130.00
□ 9. OTHER:	<u>\$</u> _	
Direct the reply and any questions about this notice to "Attention: Box Missing Parts." A copy of this notice MUST be returned with the reply.	00000144 09441892	
Customer Service Center Initial Patent Examination Division (703) 308-1202	OO INNEUEL	E

FORM PTO-1533 (REV. 9/98)

			Sector			
_	otice To File Missing Parts te Granted (PTO-1533)(Lar		Docket No. 5268			
In Re Application Of: Jo	ohn S. HENDRICKS, et al.	FEB 2 9 2000 200				
Serial No. 09/441,892	Filing Date November 17, 1999	Not Assigned	Group Art Unit 2711			
Invention: ELECTRON	IC BOOK HAVING ELECTRO	ONIC COMMERCE FEATURES				
	TO THE ASSISTANT COL	MMISSIONER FOR PATENTS:				
	Box M	issing Parts				
This is a response to the <u>December 30, 1999</u> Date	Notice to File Missing Parts of A	Application - Filing Date Granted (F	PTO-1533) mailed on			
Enclosed herewith for filing	ng are the following:					
☑ A copy of the Notice	e to File Missing Parts of Applic	eation - Filing Date Granted (PTO-	1533). (REQUIRED)			
	ation in compliance with 37 CF above Application Number and I	FR 1.63, including residence information Filing Date.	rmation and identifying the			
 A properly signed of Application Number 	•	ce with 37 CFR 1.63, identifying the	ne application by the above			
	☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.					
		inguage application papers as origination purposes in the United Sta				
Other (list):						
Assignment						

Response To Notice To File Missing Parts Of Application Filing Date Granted (PTO-1533)(Large Entity)			Docket No. 5268		
In Re Application Of: John S. HENDRICKS, et al.					
Serial No. 09/441,892	Filing Date November 17, 1999	Examiner & TRIVING Not Assigned	Group Art Unit 2711		
Invention: ELECTRO	NIC BOOK HAVING ELECTRO	NIC COMMERCE FEATUR	ES		
	TO THE ASSISTANT COM	MISSIONER FOR PATENTS	<u>S:</u>		
	Box Mis	ssing Parts			
☑ Completion of app	olication fees as calculated below	:			
☐ Utility applica	tion filing fee				
Design applic	cation filing fee				
☐ Total number	r of independent claims =				
☐ Total number	r of claims =				
☐ Multiple depe	endent claims				
Surcharge for Sur	r late payment of filing fee and/or	r late filing of original declarati	ion or oath \$130.00		
Petition and f	ee for filing by other than all the i	inventors or a person not the	invention		
☐ Fee for proce	essing an application filed with a r	non-English language specific	eation		
☐ Fee for proce	essing and retention of application	n	·		
•		Total completion of ap	pplication fees \$130.00		
above-identified Notice t	·	on. The requested extension	od for filing a response to the n is as follows (check time period refor.		
☐ One month	☐ Two months ☐ T	hree months 🔲 Four mo	onths		
from:	Date	until:	rate		
Total time extension fees					
			Total fees due \$130.00		

Response To Notice To File Missing Parts Of Applicate Filing Date Granted (PTO-1533) (Large Entity)			111	Docket No. 5268
In Re Application Of: J	ohn S. HENDRICKS, et al.		(O) FEB 29 N	WOFFICE S
Serial No.	Filing Date		Examiner PATENT & TR	Group Art Unit
09/441,892	November 17, 1999	N	lot Assigned	2711
Invention: ELECTRON	NIC BOOK HAVING ELECTRO	NIC COMM	IERCE FEATURES	
			· <u>······</u>	
	TO THE ASSISTANT COM	MISSIONE	R FOR PATENTS:	
	Box Mis	ssing Parts		
☑ The Commissione overpayment, to DA duplicate copy o☐ If an additional extraory additional fees	is to be paid as follows: bunt of the fee is enclosed. r is hereby authorized to charge eposit Account No. 04-1425 f this sheet is enclosed. ension of time is required, please which may be required to Depo f this sheet is enclosed.	e consider tl	his a petition therefor ar	
Aldo Noto, Reg. No. 35,62 DORSEY & WHITNEY I 1001 Pennsylvania Avenus Suite 300 South Washington, D.C. 20006	LLP	Dated:	on first class mail under 37 (Assistant Commissioner 20231.	ment and fee is being deposited with the U.S. Postal Service as C.F.R. 1.8 and is addressed to the for Patents, Washington, D.C.

cc:

Typed or Printed Name of Person Mailing Correspondence

-	Response To N	Notice To File Missing Parts	Of Application	Docket No.			
Filing Date Granted (PTO-1533)(Large Entity) Filing Date Granted (PTO-1533)(Large Entity) 5268							
In Re	Application Of: J	John S. HENDRICKS, et al.	LEB 5 3 JOHN ST. PROPERTY OF THE PROPERTY OF T				
	Serial No.	Filing Date	Examine ENT & TRADE	Group Art Unit			
	09/441,892	November 17, 1999	Not Assigned	2711			
Inver	Invention: ELECTRONIC BOOK HAVING ELECTRONIC COMMERCE FEATURES						
		·					
		TO THE ASSISTANT COM	MMISSIONER FOR PATENTS:				
		Box Mi	ssing Parts				
This i	s a response to the	Notice to File Missing Parts of A	pplication - Filing Date Granted (PT	O-1533) mailed on			
_Dec	ember 30, 1999 Date		•				
Enclo	sed herewith for fili	ing are the following:					
×	A copy of the Noti	ce to File Missing Parts of Applic	ation - Filing Date Granted (PTO-15	33). (REQUIRED)			
\boxtimes	An oath or declar	ration in compliance with 37 CF	R 1.63, including residence inform				
		above Application Number and F					
		oath or declaration in compliand er and Filing Date.	se with 37 CFR 1.63, identifying the	application by the above			
			R 1.63 listing the names of all invene above Application Number and F				
	□ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.						
X	Other (list):						
	Assignment						
				İ			

Response To Notice To File Missing Parts Of Application Filing Date Granted (PTO-1533)(Large Entity) Dock						
In Re Application Of: John S. HENDRICKS, et al.						
Serial No. Filing Date Examiner Group of the November 17, 1999 Not Assigned 271						
Invention: ELECTRO	Invention: ELECTRONIC BOOK HAVING ELECTRONIC COMMERCE FEATURES					
	TO THE ASSISTANT COM	MISSIONER FOR	PATENTS:			
	Box Mi	ssing Parts				
☑ Completion of app	lication fees as calculated below	:				
☐ Utility applica	tion filing fee					
☐ Design applic	eation filing fee	es Es				
☐ Total number	of independent claims =	 				
☐ Total number	of claims =					
☐ Multiple depe	ndent claims					
☑ Surcharge for	r late payment of filing fee and/or	r late filing of origina	al declaration or o	path \$130.00		
Petition and f	ee for filing by other than all the	inventors or a perso	on not the inventi	on		
☐ Fee for proce	ssing an application filed with a	non-English langua	ge specification			
☐ Fee for proce	essing and retention of application	n				
•		Total compl	etion of applicati	on fees \$130.00		
This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.						
☐ One month	☐ Two months ☐ T	hree months	Four months	☐ Five months		
from:	Date	until:	Date			
	Date			sion food		
			Fotal time extens Total f	rees due \$130.00		

2	otice To File Missing Parts (te Granted (PTO-1533) (Lar		tion	Docket No. 5268
In Re Application Of: J	ohn S. HENDRICKS, et al.		C FEB 2 9 2000	PR OFFICE OF
Serial No. 09/441,892	Filing Date November 17, 1999	N	Examiner ATENT & TRAD	Group Art Unit 2711
Invention: ELECTRON	NIC BOOK HAVING ELECTRO	NIC COMM	ERCE FEATURES	
	TO THE ASSISTANT COM	MISSIONE	R FOR PATENTS:	
	Box Mis	sing Parts		
		•		
The fee of \$130	is to be paid as follows:			
	ount of the fee is enclosed.	entra La Talles		
☑ The Commissioner	r is hereby authorized to charge eposit Account No. 04-1425	any fees whi	ch may be required, o	r credit any
A duplicate copy o	f this sheet is enclosed.			
	ension of time is required, please		•	nd charge
· · · · · · · · · · · · · · · · · · ·	s which may be required to Depo f this sheet is enclosed.	sit Account P	NO.	
A duplicate copy o	i tilis silect is criciosca.			
(MAN	2/			
Alle No		Dated:	February 29, 2000	
	ignature			
Aldo Noto, Reg. No. 35,62 DORSEY & WHITNEY I				
1001 Pennsylvania Avenu			I certify that this docu	ment and fee is being deposited
Suite 300 South Washington, D.C. 20006			on	with the U.S. Postal Service as
. asimigeon, D.C. 20000				C.F.R. 1.8 and is addressed to the r for Patents, Washington, D.C.

Signature of Person Mailing Correspondence

cc:

Typed or Printed Name of Person Mailing Correspondence